



06-28-04

IFW

<b>TRANSMITTAL FORM</b>  (for all correspondence after initial filing)	Attorney Docket No.	<b>NSL-030</b>	Total Pages	
	Application Number <b>10/810,072</b>			
	Filing Date <b>MARCH 25, 2004</b>			
	First Named Inventor <b>KARL PICHLER</b>			
	Group Art Unit <b>2812</b>			
	Examiner <b>NOT YET ASSIGNED</b>			

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	<input type="checkbox"/> Response to Notice of Missing Parts
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Declaration by Inventors
<input type="checkbox"/> Response/Amendment	<input type="checkbox"/> Assignment papers
<input type="checkbox"/> After Final Rejection	<input type="checkbox"/> Power of Attorney by Assignee
<input type="checkbox"/> After Allowance communication to Group	<input checked="" type="checkbox"/> IDS/PTO-1449
<input type="checkbox"/> with Corrected Drawing(s) Total Sheets: [ ]	<input checked="" type="checkbox"/> with copies of cited references
<input type="checkbox"/> with Affidavits/Declarations	<input type="checkbox"/> New Power of Attorney and Revocation of Old
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Other:
<input type="checkbox"/> Formal Drawing(s) Total Sheets: [ ]	

SIGNATURE OF ATTORNEY	
NAME	<b>JOSHUA D. ISENBERG, REG. NO. 41,088</b>
Signature	<i>Joshua D. Isenberg</i>
Date	<b>6/25/2004</b>

Certificate of Mailing by "Express Mail"	
I hereby certify that I am mailing this correspondence on the date indicated below to COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313 using the "Express Mail Post Office to Addressee" service of the United States Postal Service under 37 CFR 1.10.	
<u><i>Bing Li</i></u>	DATE OF MAILING: <b>JUNE 25, 2004</b>
SIGNATURE	
<b>BING LI</b>	EXPRESS MAIL LABEL NUMBER: <b>ER 504315318 US</b>
NAME OF PERSON SIGNING	

To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

## Part II (Payment)

A check is enclosed as indicated:

- ☒ (X) No fee is due.
- ☐ ( ) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).
- ☐ ( ) The petition fee specified in 37 C.F.R. § 1.17(p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$180).



## Part III (Certification)

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- ☒ (X) No certification is necessary.
- ☐ ( ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ☐ ( ) The "communication from a foreign patent office" referred to in the certification is an International Search Report, possibly issued by the U.S. Patent and Trademark Office in its capacity as an International Search Authority or International Preliminary Examining Authority.
- ☐ ( ) The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.
- ☐ ( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

## Part IV (Additional Statement)

An additional statement regarding these items of information ☐ ( ) is, ☒ (X) is not, enclosed.

Copies of the cited art references \_\_\_\_\_ ☒ (X) are enclosed,

Copies of the cited art references \_\_\_\_\_ ☐ ( ) are of record in parent application Serial No. \_\_\_\_\_ and will be provided if the Examiner deems it convenient.

Copies of the cited art references \_\_\_\_\_ ☐ ( ) are not required under 37 CFR 1.98(a)(2)(i) because they are U.S. Patents and/or U.S. Patent Publications and

☐ ( ) the present application was filed after June 30, 2003, or

☐ ( ) the present application is an international application that entered the national stage under 35 USC 371 after June 30, 2003.

Dated: June 25, 2004

Respectfully submitted,

A handwritten signature in black ink that reads "Joshua D. Isenberg".

Joshua D. Isenberg  
Reg. No. 41,088  
204 Castro Lane  
Fremont, CA 94539  
tel: (510) 896-8328  
fax: (510) 360-9656

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE				ATTY. DOCKET NO. NSL-030		SERIAL NO. 10/810,072	
<b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)				APPLICANT <b>Karl Pichler</b>			
				FILING DATE <b>March 25, 2004</b>		GROUP <b>2812</b>	

O I P E  
 JUN 24 2004  
 PATENT & TRADEMARK OFFICE 880

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES    NO
	I						
	J						

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
	K		U.S. Patent Application 10/403,997 entitled "IMPROVED TRANSPARENT ELECTRODE, OPTOELECTRONIC APPARATUS AND DEVICES" filed March 29, 2003
	L		U.S. Patent Application 10/443,456 entitled "PHOTOVOLTAIC DEVICES FABRICATED BY GROWTH FROM POROUS TEMPLATE", filed May 21, 2004
	M		U.S. Patent Application 10/782,545 entitled "HIGH THROUGHPUT SURFACE TREATMENT ON COILED FLEXIBLE SUBSTRATES", filed February 19, 2004
	N		U.S. Patent Application 10/782,017 entitled "SOLUTION-BASED FABRICATION OF PHOTOVOLTAIC CELL", filed February 19, 2004

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.